

04/26/01

04-2701

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PTO/SB/05 (08-00)

Please type a plus sign (+) inside this box



Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	MBX 034 CON (2)
First Inventor	Philip Louis Taylor
Title	AQUEOUS COATING COMPOSITION GIVING COATINGS HAVING IMPROVED EARLY HARDNESS AND TACK-RESISTANCE
Express Mail Label No.	EL 709 416 591 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
- ☒ Specification [Total Pages 15]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets ]
- Oath or Declaration [Total Pages 1]
  - ☐ Newly executed (original or copy)
  - ☒ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 17 completed)
    - ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☐ paper
  - ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s))
- ☒ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- ☒ Other: Copy of Recordation Form Cover Sheet and executed Assignment from prior application U.S.S.N. 08/776,264

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. 09 / 232,110

Prior application information

Examiner Yoon, T.

Group / Art Unit 1714

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label or ☐ Correspondence address below

Name	Patrea L. Pabst Arnall Golden & Gregory, LLP				
Address	2800 One Atlantic Center 1201 West Peachtree Street				
City	Atlanta	State	GA	Zip Code	30309-3450
Country	United States	Telephone	(404) 873-8794	Fax	(404) 873-8795

Name (Print/Type)	Patrea L. Pabst	Registration No. (Attorney/Agent)	31,284
Signature			Date 4/26/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

MBX 034 CON (2) 20061/47

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**FEE TRANSMITTAL**  
**for FY 2001**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	Continuation of 09/232,110
Filing Date	April 26, 2001
First Named Inventor	Philip Louis Taylor
Examiner Name	
Group Art Unit	
Attorney Docket No.	MBX 034 CON (2)

**TOTAL AMOUNT OF PAYMENT** (\$)**710.00****METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to.

Deposit  
Account  
Number

01-2507

Deposit  
Account  
Name

Amall Golden &amp; Gregory, LLP

- ☒
- Charge Any Additional Fee Required
- 
- Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status  
See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:**

☐ Check ☐ Credit card ☐ Money  
Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Fee Fee Fee  
Code (\$) Code (\$) Fee Description

101	710	201	355	Utility filing fee	710.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

Fee Paid

**SUBTOTAL (1)** (\$)**710.00****2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
17	- 20 = 0	0	
Independent Claims	2 - 3 = 0	0	
Multiple Dependent			

Large Entity Small Entity

Fee Fee Fee Fee  
Code (\$) Code (\$) Fee Description

103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$)**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Fee Fee Fee  
Code (\$) Code (\$) Fee Description

105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Fee Paid

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$)**SUBMITTED BY**

Name (Print/Type)

Patrea L. Pabst

Registration No.  
(Attorney/Agent)

31,284

**Complete (if applicable)**

Telephone

(404) 873-8794

Signature

Date

4/26/2001

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Philip Louis Taylor

Serial No: Continuation of 09/232,110

Express Mail Label  
No. EL 709 416 591 US

Filed: April 26, 2001

Date of Deposit: April 26, 2001

For: AQUEOUS COATING COMPOSITION GIVING COATINGS HAVING AN  
IMPROVED EARLY HARDNESS AND TACK-RESISTANCE

BOX PATENT APPLICATION  
Assistant Commissioner of Patents  
Washington, D.C. 20231

REQUEST FOR FILING A CONTINUATION APPLICATION  
UNDER 37 C.F.R. § 1.53(b)

Sir:

This is a request for filing a continuation application under 37 C.F.R. § 1.53(b),  
of copending prior application U.S.S.N. 09/232,110 filed January 15, 1999, entitled  
*"Aqueous Coating Composition Giving Coatings Having an Improved Early Hardness and  
Tack-Resistance"*, by Philip Louis Taylor, which is a continuation of U.S.S.N. 08/776,264  
filed March 24, 1997, which is a 371 of International Application No. PCT/EP95/02821 filed  
July 14, 1995, which claims priority to foreign application No. 9414922.6 filed July 25, 1994 in  
the UNITED KINGDOM. The application includes 1 page of abstract, 12 pages of specification,  
2 pages of claims, and a copy of the executed Declaration for Patent Application from prior  
application U.S.S.N. 08/776,264.

Pursuant to 37 C.F.R. § 1.63(d), the copy of the executed Declaration included in the new application is a copy of the executed Declaration filed in parent application Serial No. 08/776,264, to which the new application claims benefit.

A Preliminary Amendment and an Information Disclosure Statement are enclosed.

Applicant also encloses from prior application U.S.S.N 08/776,264 filed March 24, 1997, copies of the (a) Recordation Form Cover Sheet and the executed Assignment from Philip Louis Taylor to Imperial Chemical Industries Plc, (b) executed Power of Attorney By Assignee of Entire Interest and Revocation of Prior Power by Imperial Chemical Industries Plc, and (c) executed Statement Under 37 C.F.R. § 3.73(b) by Imperial Chemical Industries Plc.

This application is not entitled to small entity status pursuant to 37 C.F.R. § 1.27.

The Assistant Commissioner is authorized to charge Deposit Account No. 01-2507 in the amount of \$790.00 to cover the filing fee for a large entity. Also enclosed are (1) Fee Transmittal (PTO/SB/17); and (2) Utility Patent Application Transmittal (PTO/SB/45). It is believed that \$710.00 is the proper filing fee for a large entity, as the application includes 2 independent claims and a total of 17 claims after entry of the enclosed Preliminary Amendment.

The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment to Deposit Order Account No. 01-2507. To facilitate this process, applicant has enclosed a duplicate of this letter.

Continuation of U.S.S.N. 09/232,110  
Filed: April 26, 2001  
**REQUEST FOR FILING A CONTINUATION**  
**APPLICATION UNDER 37 C.F.R. § 1.53(b)**  
Express Mail Label No. EL 709 416 591 US  
Date of Deposit: April 26, 2001

This application is being filed on April 26, 2001, by mailing the application to Box  
Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231 via the United  
States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10.  
The Express Mail label number appears in the heading of this paper which is attached to the  
application papers pursuant to 37 C.F.R. § 1.10(b).

All correspondence concerning this application should be mailed to:

Patrea L. Pabst, Esq.  
ARNALL GOLDEN & GREGORY, LLP  
2800 One Atlantic Center  
1201 West Peachtree Street  
Atlanta, Georgia 30309-3450

Respectfully submitted,



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Patrea L. Pabst  
Reg. No. 31,284

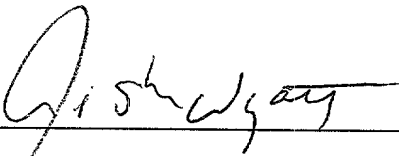
Date: April 26, 2001

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2800 One Atlantic Center  
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Continuation of U.S.S.N. 09/232,110  
Filed: April 26, 2001  
**REQUEST FOR FILING A CONTINUATION  
APPLICATION UNDER 37 C.F.R. § 1.53(b)**  
Express Mail Label No. EL 709 416 591 US  
Date of Deposit: April 26, 2001

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10**

I hereby certify that this REQUEST FOR FILING A CONTINUATION APPLICATION UNDER 37 C.F.R. § 1.53(b) and any documents referred to as attached therein are being deposited with the United States Postal Service on this date, April 26, 2001, in an envelope as "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Number EL 709 416 591 US addressed to Box Patent Application, Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

  
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Date: April 26, 2001

TELETYPE UNIT